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PATENT TRADEMARK OFFICE

Docket No.: 1946/1E549-US5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Andrea Leone-Bey et al.

Serial No.: TBA

Art Unit: TBA

Confirmation No.: TBA

Examiner: TBA

Filed: Concurrently herewith

For: **COMPOUNDS AND COMPOSITIONS FOR DELIVERING ACTIVE AGENTS**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449. Copies of the references cited therein are not provided

since they were cited in the parent application (U.S. Serial No. 09/744,862).

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

This submission is filed with the filing of this application. Therefore, it

is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 04-0100 for any deficiency up to \$300.00.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,



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Registration No. 41,151  
Attorney for Applicant(s)

Dated: June 20, 2003

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Form PTO-1449 (Rev. 10-92) [modified]  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (use several sheets if necessary)	Docket No.: 1946/1E549-US5	Application No.: Not Yet Assigned
Applicants: Leone-Bay et al.		
Filing Date: Herewith		Group Art Unit:

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME OF PATENTEE OR APPLICANT	CLASS	SUB- CLA SS	FILING DATE
	4	1	4	7	7	6	7	4/3/79	Yapel	424	22	9/30/77
	4	2	3	8	5	0	6	12/9/80	Stach, deceased et al.	424	319	6/29/78
	4	7	5	7	0	6	6	7/12/87	Shiokari et al.	514	210	7/12/88
	4	6	9	2	4	3	3	8/8/87	Hostetler et al.	514	12	8/1/85
	4	8	7	3	0	8	7	10/10/89	Morishita et al.	424	433	10/10/89
	5	0	6	6	4	8	7	11/19/91	Morelle et al.	424	68	9/12/89
	5	3	5	2	4	6	1	10/4/94	Feldstein et al.	424	493	10/4/94
	5	4	5	5	3	3	5	10/3/95	Kahane et al.	536	5	4/8/94
	5	7	0	5	5	2	9	1/6/97	Matyus et al.	514	541	10/11/91
	4	8	9	5	7	2	5	01/23/90	Kantor et al.	424	455	04/04/98
	4	9	2	5	6	7	3	5/15/90	Steiner	424		8/1487
	4	9	7	6	9	6	8	12/11/90	Steiner	424	491	02/24/89
	4	9	8	3	4	0	2	01/08/91	Steiner	424	491	02/24/89
	5	4	0	1	5	1	6	03/28/95	Milstein et al.	424	491	04/22/93
	5	4	4	3	8	4	1	08/22/95	Milstein et al.	424	451	07/27/92
	5	4	4	7	7	2	8	09/05/95	Milstein et al.	424	490	12/16/93
	5	4	5	1	4	1	0	09/19/95	Milstein et al.	424	490	04/22/93
	5	5	4	0	9	3	9	07/30/96	Milstein et al.	424	491	04/25/94
	5	5	4	1	1	5	5	07/30/96	Leone-Bay et al.	514	2	04/22/94
	5	5	7	8	3	2	3	11/26/96	Milstein et al.	424	499	06/14/93
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	5	6	2	9	0	2	0	05/13/97	Leone-Bay et al.	424	489	04/22/94
	5	6	4	3	9	5	7	07/01/97	Leone-Bay et al.	514	563	10/25/94
	5	6	5	0	3	8	6	07/22/97	Leone-Bay et al.	514	2	03/31/95
	5	6	6	7	8	0	6	09/16/97	Kantor et al.	424	484	06/07/95
	5	6	9	3	3	3	8	12/02/97	Milstein et al.	424	451	09/29/94
	5	7	0	9	8	6	1	01/20/98	Santiago et al.	424	184.	01/13/95
	5	7	1	4	1	6	7	02/03/98	Milstein et al.	424	490	10/25/94
	5	7	5	0	1	4	7	05/12/98	Kantor et al.	424	491	06/07/95
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	5	7	7	3	6	4	7	06/30/98	Leone-Bay et al.	562	444	02/07/97
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	5	8	6	3	9	4	4	01/26/99	Leone-Bay et al	514	559	04/30/97
	5	8	6	6	5	3	6	02/02/99	Leone-Bay et al	514	2	02/06/97

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Form PTO-1449 (Rev. 10-92) [modified]  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(use several sheets if necessary)</i>								Docket No.: 1946/1E549-US5		Application No.: Not Yet Assigned		
								Applicants: Leone-Bay et al.				
								Filing Date: Herewith		Group Art Unit:		
	5	8	7	6	7	1	0	03/02/99	Leone-Bay et al	424	85.1	02/07/97
	5	8	7	9	6	8	1	03/09/99	Leone-Bay et al	424	85.1	02/07/97
	5	9	3	5	6	0	1	08/10/99	Leone-Bay et al	424	489	04/21/95
	5	9	3	9	3	8	1	08/17/99	Leone-Bay et al	514	2	02/07/97
	5	9	5	5	5	0	3	09/21/99	Leone-Bay et al	514	563	02/06/97
	5	9	5	8	4	5	7	09/28/99	Santiago et al.	424	490	05/10/95
	5	9	6	2	7	1	0	10/05/99	Gschneidner et al	554	112	05/09/97
	5	9	6	5	1	2	1	10/12/99	Leone-Bay et al.	424	85.2	02/06/97
	5	9	7	2	3	8	7	10/26/99	Milstein et al.	424	491	11/21/94
	5	9	7	6	5	6	9	11/02/99	Milstein et al.	424	451	04/29/97
	5	9	8	9	5	3	9	11/23/99	Leone-Bay et al	424	85.2	02/06/97
	5	9	9	0	1	6	6	11/23/99	Leone-Bay et al	514	563	02/07/97
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	6	0	5	1	5	6	1	04/18/00	Leone-Bay et al	514	56	02/07/97
	6	0	6	0	5	1	3	05/09/00	Leone-Bay et al	514	559	02/07/97
	6	0	7	1	5	1	0	06/06/00	Leone-Bay et al	424	85.2	04/23/97
	6	0	7	1	5	3	8	06/06/00	Milstein et al.	424	464	09/30/97
	6	0	8	4	1	1	2	07/04/00	Ho et al.	554	114	09/10/96
	6	0	9	0	9	5	8	07/18/00	Milstein et al	554	112	02/07/97
	6	0	9	9	8	5	6	08/08/00	Milstein et al.	424	450	12/10/96
	6	1	0	0	2	8	5	08/08/00	Kantor	514	400	11/11/97
	6	1	0	0	2	9	8	08/08/00	Leone-Bay et al.	514	563	02/06/97
	6	1	8	0	1	4	0	01/30/01	Leone-Bay et al.	424	489	06/02/95
	6	2	2	1	3	6	7	4/24/01	Milstein et al.	424	400	9/29/97

### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	3 6 9 8 5 3	7/24/69	Spain				
	0 0 3 6 1 4 5	9/23/81	EPO				
	2 0 9 5 9 9 4	10/13/82	Great Britain				
	2 2 6 2 2 3	6/27/87	EP				
	5 7 6 9 4 1	6/19/93	EP				
	2 2 3 9 9 8 0	9/21/90	Japan				
	0 5 1 7 2 1 1	9/12/92	EP				
	0 0 0 7 9 7 9	5/18/00	EO				
	0 0 0 6 5 3 4	2/10/00	WO				
	0 0 0 6 1 8 4	2/10/00	WO				
	0 0 4 8 5 8 9	8/24/00	WO				
	0 0 5 9 8 6 3	10/12/00	WO				
	9 6 3 0 0 3 6	10/3/96	WO				
	9 7 3 6 4 8 0	10/9/97	WO				

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION												
(use several sheets if necessary)												
								Applicants: Leone-Bay et al.				
								Filing Date: Herewith		Group Art Unit:		
	9	5	1	1	6	9	0	5/4/97	PCT			
	9	6	1	2	4	7	3	5/2/96	PCT			
	4	8	3	7	8	1	9	11/14/93	JP			
				4	4	4	6	11/2/66	FR			
	9	9	2	9	7	0	5	6/17/99	PCT			

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